

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450

LORRAINE M. GRAF
Type or print name

Lorraine M. Graf
Signature

11/13/2003
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

JOSHI ET AL.

APPLICATION NO: 10/656,546

FILED: SEPTEMBER 5, 2003

FOR: PHARMACEUTICAL COMPOSITION WHICH REDUCES OR
ELIMINATES DRUG ABUSE POTENTIAL

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

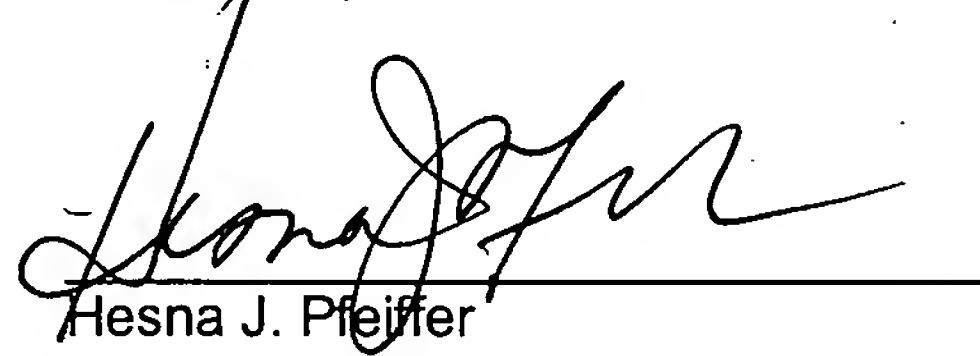
This paper is being filed within three months of the filing date of the application. Therefore, no fees are required. If a fee is deemed to be required, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 19-0134.

In accordance with 37 C.F.R. §1.56, applicants wish to call the Examiner's attention to the references cited on the attached form(s) PTO-1449.

The listed references are of record in parent Application No. 09/942,808 filed August 30, 2001, and copies are available therein. However, applicants are willing to send copies of any or all of these references at the Examiner's request.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Hesna J. Pfeiffer', is written over a horizontal line.

Hesna J. Pfeiffer
Attorney for Applicants
Reg. No. 22,640

Novartis
Corporate Intellectual Property
One Health Plaza, Building 430
East Hanover, NJ 07936-1080
(862) 778-7903

Date: November 13, 2003

FORM PTO-1449
(REV. 7-85)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE**INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

ATTY. DOCKET NO.

4-31972B

APPLICATION NO.

10/656,546

APPLICANT

JOSHI ET AL.

FILING DATE

SEPTEMBER 5, 2003

Group

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE |
|---------------------|----|-----------------|----------|------------------|-------|----------|-------------|
| | AA | 2,838,519 | 6/10/58 | Rometsch | 260 | 294.3 | |
| | AB | 2,957,880 | 10/25/60 | Rometsch | 260 | 294 | |
| | AC | 5,773,478 | 6/30/98 | Richards, et al | 514 | 649 | |
| | AD | 5,874,090 | 2/23/99 | Baker, et al | 424 | 400 | |
| | AE | 5,908,850 | 6/1/99 | Zeitlin, et al | 514 | 315 | |
| | AF | 5,922,736 | 7/13/99 | Dariani, et al | 514 | 317 | |
| | AG | 5,936,091 | 8/10/99 | Khetani, et al | 546 | 233 | |
| | AH | 5,965,734 | 10/12/99 | Ramaswamy, et al | 546 | 233 | |
| | AI | 6,100,401 | 8/8/00 | Prashad, et al | 546 | 233 | |
| | AJ | 6,113,879 | 9/5/00 | Richards, et al | 424 | 9.1 | |
| | AK | 6,121,453 | 9/19/00 | Zavareh | 546 | 238 | |
| | AL | 6,162,919 | 12/19/00 | Prashad, et al | 546 | 233 | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | OFFICE | CLASS | SUBCLASS | TRANSLATION | |
|--|----|-----------------|---------|--------|-------|----------|--------------------------|--------------------------|
| | | | | | | | YES | NO |
| | AM | WO 97/33566 | 9/18/97 | PCT | A61K | | <input type="checkbox"/> | <input type="checkbox"/> |
| | AN | | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | AO | | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | AP | | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | AQ | | | | | | <input type="checkbox"/> | <input type="checkbox"/> |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

| | | |
|--|----|---|
| | AR | Navia et al., Annals of Neurology, Vol. 19:517-524 (1986) |
| | AS | |
| | AT | |

EXAMINER**DATE CONSIDERED**

*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

FORM PTO-1449
(REV. 7-85)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE**INFORMATION DISCLOSURE CITATION***(Use several sheets if necessary)*

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U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE |
|---------------------|----|-----------------|----------|-----------------|-------|----------|----------------|
| | AB | 4,638,059 | 1/20/87 | Sutherland | 536 | 121 | |
| | AG | 5,055,306 | 10/8/91 | Barry, et al | 424 | 482 | |
| | AC | 5,631,103 | 5/20/97 | Eschbach, et al | 429 | 190 | |
| | AD | 5,639,573 | 6/17/97 | Oliver, et al | 429 | 190 | |
| | AA | 5,837,284 | 11/17/98 | Mehta, et al | 424 | 459 | |
| | AE | 6,066,613 | 5/23/00 | Tsaur, et al | 510 | 403 | |
| | AF | 6,187,828 | 2/13/01 | Woodrum, et al | 521 | 64 | |
| | AH | 6,120,803 | 09-2000 | Wong et al | 424 | 473 | |
| | AI | 6,437,000 | 08-2002 | Mulye | 514 | 647 | |
| | AJ | | | | | | |
| | AK | | | | | | |
| | AL | | | | | | |

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| | | DOCUMENT NUMBER | DATE | OFFICE | CLASS | SUBCLASS | TRANSLATION | |
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| | AN | | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | AO | | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | AP | | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | AQ | | | | | | <input type="checkbox"/> | <input type="checkbox"/> |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

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